Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims:

Claim 1 (currently amended) A method for data management of a financial transaction, comprising:

receiving a request for a user for a proposed financial transaction <u>via a terminal by each of a plurality of independently maintained and segregated trading systems coupled over a network to the terminal</u>;

generating a rate quote <u>via at least one of the independently maintained and segregated trading systems</u> consisting of one of an executable rate quote and a category trader's <u>manual</u> rate quote for the proposed financial transaction, wherein the executable rate quote is generated if a first predefined condition <u>for allowing the proposed financial transaction on the basis of the executable rate quote</u> is identified <u>from a group of conditions consisting at least in part of a proposed transaction volume not exceeding an available volume and a proposed transaction amount not exceeding a predefined limit</u>, and the category trader's <u>manual</u> rate quote is generated if a second predefined condition <u>for rejecting the proposed financial transaction on the basis of the executable rate quote</u> is identified <u>from a group of conditions consisting at least in part of a proposed transaction volume in excess of an available volume and a proposed transaction amount in excess of a predefined limit and at least in part of a predetermined setting of a request for quote parameter</u>;

automatically prompting the user for a selection of the generated rate quote for the proposed financial transaction <u>via said at least one of the independently maintained and segregated trading systems</u>;

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automatically holding the generated rate quote for a predetermined period of time for the user <u>by said at least one of the independently maintained and segregated trading systems</u>;

receiving a request for execution of the proposed transaction for the user in accordance with the selection by the user of the generated rate quote <u>by said at least one of the independently maintained and segregated trading systems over the network via the terminal</u>; and

automatically executing the proposed transaction for the user in accordance with the generated rate quote upon receipt of the request for execution within the predetermined period of time <u>by said at least one of the independently maintained and segregated trading systems</u>.

Claim 2 (canceled)

Claim 3 (currently amended) The method of claim ~~2~~ <u>1</u>, wherein receiving the request ~~at~~ <u>via</u> the terminal further comprises entering the request at the terminal by the user.

Claim 4 (currently amended) The method of claim ~~2~~ <u>1</u>, wherein receiving the request ~~at~~ <u>via</u> the terminal further comprises entering the request at the terminal for the user by a sales trader.

Claim 5 (currently amended) The method of claim 4, wherein entering the request ~~at~~ <u>via</u> the terminal by the sales trader further comprises receiving the request by the sales trader from the user.

Claim 6 (currently amended) The method of claim ~~2~~ <u>1</u>, wherein receiving the request ~~at~~ <u>via</u> the terminal further comprises receiving the request by a transaction server <u>of each of the plurality of independently maintained and segregated trading systems</u> coupled <u>over the network</u> to the terminal.

Claim 7 (currently amended) The method of claim 6, wherein receiving the request at the terminal further comprises receiving the request by a rate server coupled to the transaction server of each of the plurality of independently maintained and segregated trading systems.

Claims 8-10 (canceled)

Claim 11 (currently amended) The method of claim 1, wherein generating the rate quote further comprises automatically identifying the first predefined condition for generating the executable rate quote for the proposed transaction by at least one of a the transaction server and a the rate server of said at least one of the independently maintained and segregated trading systems.

Claim 12 (currently amended) The method of claim 11, wherein automatically identifying the first predefined condition for generating the executable rate quote further comprises automatically generating the executable rate quote by the rate server of said at least one of the independently maintained and segregated trading systems.

Claim 13 (currently amended) The method of claim 12, wherein automatically generating the executable rate quote by the rate server further comprises automatically generating the executable rate quote by the rate server coupled to the transaction server of said at least one of the independently maintained and segregated trading systems.

Claims 14-15 (canceled)

Claim 16 (currently amended) The method of claim 1, wherein generating the rate quote further comprises automatically identifying the second predefined condition for generating the category trader's manual rate quote.

Claim 17 (canceled)

Claim 18 (currently amended) The method of claim ~~17~~ 1, wherein automatically identifying the predefined ~~cause~~ condition for rejecting the request for the proposed financial transaction on the basis of the executable quote further comprises automatically identifying the predefined cause for rejecting the proposed financial transaction by at least one of ~~a~~ the transaction server and ~~a~~ the rate server of said at least one of the independently maintained and segregated trading systems.

Claim 19 (currently amended) The method of claim ~~18~~ 1, wherein automatically identifying the predefined ~~cause~~ condition for rejecting the proposed financial transaction on the basis of the executable quote further comprises automatically identifying at least one ~~cause~~ condition for rejecting the proposed financial transaction selected from a group of ~~causes~~ conditions for rejecting the proposed financial transaction consisting of a proposed transaction counterparty suspension, a proposed transaction system suspension, a proposed transaction instrument suspension, a proposed transaction rate suspension, a proposed transaction volume exceeding an available volume, and a proposed transaction amount exceeding a predefined limit.

Claim 20 (currently amended) The method of claim ~~17~~ 1, wherein automatically generating the request for the category trader's manual rate quote further comprises automatically confirming ~~a~~ the predetermined setting of ~~a~~ the request for quote parameter corresponding to the at least one identified predefined ~~cause~~ condition for rejection of the proposed financial transaction.

Claim 21 (currently amended) The method of claim 20, wherein automatically confirming the request for quote parameter setting further comprises automatically confirming the request for quote parameter setting by at least one of the transaction server and the rate server of said at least one of the independently maintained and segregated trading systems.

Claim 22 (currently amended) The method of claim 16, wherein automatically identifying the second predefined condition for generating the category trader's <u>manual</u> rate quote further comprises automatically prompting entry of the category trader's <u>manual</u> rate quote by at least one of a plurality of category traders.

Claim 23 (currently amended) The method of claim 22, wherein automatically prompting the entry of the category trader's <u>manual</u> rate quote further comprises receiving an input of the category trader's <u>manual</u> rate quote by at least one of the plurality of category traders.

Claim 24 (original) The method of claim 1, wherein automatically prompting the user for selection of the generated rate quote further comprises automatically displaying the generated rate quote for the user.

Claim 25 (currently amended) The method of claim 24, wherein automatically displaying the generated rate quote further comprises automatically displaying the generated rate quote for the user at a <u>the</u> terminal.

Claim 26 (original) The method of claim 25, wherein automatically displaying the generated rate quote further comprises automatically displaying the generated rate quote at a user terminal for the user.

Claim 27 (original) The method of claim 25, wherein automatically displaying the generated rate quote automatically displaying the generated rate quote at a sales trader terminal for the user.

Claim 28 (original) The method of claim 1, wherein automatically holding the generated rate quote for the predetermined period of time for the user further comprises automatically setting a counter for the predetermined period of time.

Claim 29 (canceled)

Claim 30 (currently amended) The method of claim ~~29~~ 1, wherein receiving the request for execution at the terminal further comprises entering the request at a user terminal by the user.

Claim 31 (original) The method of claim 30, wherein receiving the request for execution at the terminal further comprises entering the request at a sales trader terminal by a sales trader for the user.

Claim 32 (original) The method of claim 31, wherein entering the request for execution at the sales trader terminal by the sales trader further comprises receiving the request by the sales trader from the user.

Claim 33 (currently amended) The method of claim ~~29~~ 1, wherein receiving the request for execution at the terminal further comprises receiving the request for execution by ~~a~~ the transaction server ~~coupled to~~ of said at least one of the independently maintained and segregated trading systems over the network via the terminal.

Claim 34 (canceled)

Claim 35 (currently amended) The method of claim 1, wherein automatically executing the proposed transaction for the user further comprises automatically handing off the request for execution to a hand-off server of said at least one of the independently maintained and segregated trading systems.

Claim 36 (currently amended) The method of claim 35, wherein automatically handing off the request for execution further comprises automatically handing off the request for execution by ~~a~~ the transaction server of said at least one of the independently maintained and segregated trading systems.

Claim 37 (canceled)

Claim 38 (currently amended) A system for data management of a financial transaction, comprising:

~~means~~ a plurality of independently maintained and segregated trading systems coupled over a network to a terminal, each being adapted for receiving a request for a user for a proposed financial transaction via the terminal;

~~means coupled to the transaction request receiving means~~ each of the independently maintained and segregated trading systems being adapted for generating a rate quote consisting of one of an executable rate quote and a category trader's manual rate quote for the proposed financial transaction, wherein the executable rate quote is generated if a first predefined condition for allowing the proposed financial transaction on the basis of the executable rate quote is identified from a group of conditions consisting at least in part of a proposed transaction volume not exceeding an available volume and a proposed transaction amount not exceeding a predefined limit, and the category trader's manual rate quote is generated if a second predefined condition for rejecting the proposed financial transaction on the basis of the executable rate quote is identified from a group of conditions consisting at least in part of a proposed transaction volume in excess of an available volume and a proposed transaction amount in excess of a predefined limit and at least in part of a predetermined setting of a request for quote parameter;

~~means coupled to the rate generating means~~ wherein each of the independently maintained and segregated trading systems is further adapted for automatically prompting the user for a selection of the generated rate quote for the proposed financial transaction;

~~means associated with the prompting means~~ wherein each of the independently maintained and segregated trading systems is also adapted for automatically holding the generated rate quote for a predetermined period of time for the user;

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~~means associated with the prompting means~~ wherein each of the independently maintained and segregated trading systems is additionally adapted for receiving a request for execution of the proposed transaction for the user in accordance with the selection by the user of the generated rate quote over the network via the terminal; and

~~means coupled to the execution request receiving means~~ wherein each of the independently maintained and segregated trading systems is adapted in addition for automatically executing the proposed transaction for the user in accordance with the generated rate quote upon receipt of the request for execution within the predefined period of time.

Claim 39 (currently amended) The system of claim 38, wherein the ~~means for receiving the request for the proposed financial transaction~~ terminal further comprises ~~a terminal consisting of~~ one of a user's terminal and a sales trader's terminal adapted for receiving the request for the proposed financial transaction.

Claim 40 (currently amended) The system of claim 39, wherein ~~the means for receiving the request for the proposed financial transaction~~ each of the independently maintained and segregated trading systems further comprises at least one transaction server coupled to the terminal adapted for receiving the request for the proposed financial transaction.

Claim 41 (currently amended) The system of claim 40, wherein ~~the means for receiving the request for the proposed financial transaction~~ each of the independently maintained and segregated trading systems further comprises a rate server coupled to the transaction server adapted for receiving the request for the proposed financial transaction.

Claims 42-45 (canceled)

Claim 46 (currently amended) The system of claim ~~45~~ 41, wherein ~~the means for identifying the first predefined condition for generating the executable rate quote further comprises~~ at least one of ~~a~~ the transaction server and ~~a~~ the rate server of each of said independently maintained and segregated trading systems is adapted for identifying the first predefined condition for generating the executable rate quote.

Claim 47 (currently amended) The system of claim 46, wherein the ~~means for generating the rate quote for the proposed financial transaction further comprises~~ the rate server coupled to the transaction server of each of said independently maintained and segregated trading systems is adapted for generating the executable rate quote ~~coupled to the transaction server~~.

Claim 48-50 (canceled)

Claim 51 (currently amended) The system of claim ~~50~~ 41, wherein ~~the means for automatically identifying the second predefined condition for generating the category trader's rate quote further comprises~~ at least one of ~~a~~ the transaction server and ~~a~~ the rate server of each of said independently maintained and segregated trading systems is adapted for identifying the second predefined condition for generating the category trader's manual rate quote.

Claim 52 (canceled)

Claim 53 (currently amended) The system of claim ~~52~~ 51, ~~wherein the means for automatically prompting entry of the category trader's rate quote~~ further ~~comprises~~ comprising at least one category trader's terminal coupled to ~~a~~ the transaction server of each of said independently maintained and segregated trading systems is adapted for automatically prompting entry of the category trader's manual rate quote.

Claim 54 (currently amended) The system of claim 38, wherein the ~~means for automatically prompting the user for selection of the generated rate quote~~ terminal

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further comprises a terminal consisting of one of a user's terminal and a sales trader's terminal.

Claim 55-59 (canceled)

Claim 60 (currently amended) The system of claim 38, wherein the means for automatically holding the generated rate quote for a predefined period of time further comprises comprising a counter of each of said independently maintained and segregated trading systems adapted for automatically holding the generated rate quote for a predefined period of time.

Claim 61-63 (canceled)

Claim 64 (currently amended) The system of claim 38 41, wherein the means for automatically executing the proposed financial transaction further comprises comprising a transaction server coupled to a hand-off server coupled to the transaction server of each of said independently maintained and segregated trading systems adapted for automatically executing the proposed financial transaction.

Claim 65 (canceled)

Claim 66 (currently amended) A method of operating a computer system for data management of a financial transaction, comprising:

receiving at a terminal, by each of a plurality of independently maintained and segregated trading systems coupled over a network to the terminal, a request for a user for a proposed financial transaction;

generating a rate quote, via at least one of the independently maintained and segregated trading systems, consisting of one of an executable rate quote and a category trader's manual rate quote for the proposed financial transaction, wherein the executable rate quote is generated if a predefined condition for generating the executable rate quote is identified, wherein the predefined condition for generating

the executable rate quote exists if a predefined cause for rejecting the request for the proposed financial transaction is not identified by at least one of a transaction server coupled to the terminal and a rate server coupled to the transaction server, and upon failure to identify the predefined condition for generating the executable rate quote, the category trader's rate quote is generated if a predefined condition for generating the category trader's rate quote is identified, wherein the predefined condition for generating the category trader's rate quote exists if the predefined cause for rejecting the request for the proposed financial transaction is identified and if a predetermined setting of a request for quote parameter corresponding to the identified cause for rejecting the request for the proposed financial transaction is likewise confirmed by at least one of the transaction server and the rate server;

automatically prompting the user at the terminal for a selection of the generated rate quote for the proposed financial transaction <u>via said at least one of the independently maintained and segregated trading systems</u>, wherein the generated rate quote comprises the executable rate quote if the predefined condition for generating the executable rate quote is identified, and wherein upon failure to identify the predefined condition for generating the executable rate quote, the generated rate quote comprises the category trader's rate quote if the predefined condition for generating the category trader's <u>manual</u> rate quote is identified;

automatically holding the generated rate quote for a predetermined period of time for the user <u>by said at least one of the independently maintained and segregated trading systems</u>;

receiving a request by the transaction server via the terminal for execution of the proposed transaction for the user in accordance with the selection by the user of the generated rate quote; and

handing off the request for execution of the proposed transaction by the transaction server to a hand-off server for automatically executing the proposed

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transaction for the user in accordance with the generated rate quote upon receipt of the request for execution within the predetermined period of time.

Claim 67 (currently amended) A computer system for data management of a financial transaction, comprising:

a plurality of independently maintained and segregated trading systems coupled over a network to a terminal, each being adapted for receiving a request for a user for a proposed financial transaction via the terminal;

at least one transaction server of each of the independently maintained and segregated trading systems being coupled to the terminal and at least one rate server of each of the independently maintained and segregated trading systems being coupled to the transaction server adapted for generating a rate quote consisting of one of an executable rate quote and a category trader's manual rate quote for the proposed financial transaction, wherein the executable rate quote is generated if a predefined condition is identified, wherein the predefined condition for generating the executable rate quote exists if a predefined cause for rejecting the request for the proposed financial transaction is not identified by at least one of the transaction server and the rate server, and upon failure to identify the predefined condition for generating the executable rate quote, the category trader's rate quote is generated if a predefined condition for generating the category trader's rate quote is identified, wherein the predefined condition for generating the category trader's rate quote exists if the predefined cause for rejecting the request for the proposed financial transaction is identified and if a predetermined setting of a request for quote parameter corresponding to the identified cause for rejecting the request for the proposed financial transaction is likewise confirmed by at least one of the transaction server and the rate server;

the terminal each of the independently maintained and segregated trading systems being further adapted for automatically prompting the user via the

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terminal for a selection of the generated rate quote for the proposed financial transaction, wherein the generated rate quote comprises the executable rate quote if the predefined condition for generating the executable rate quote is identified, and wherein upon failure to identify the predefined condition for generating the executable rate quote, the generated rate quote comprises the category trader's rate quote if the predefined condition for generating the category trader's rate quote is identified;

a system counter for automatically holding the generated rate quote for a predetermined period of time for the user;

the transaction server being adapted for receiving a request via the terminal for execution of the proposed transaction for the user in accordance with the selection by the user of the generated rate quote; and

the transaction server being adapted for handing off the request for execution of the proposed transaction to a hand-off server for automatically executing the proposed transaction for the user in accordance with the generated rate quote upon receipt of the request for execution within the predefined period of time.